

Equality Policy (EXAMS)

Approved by:

Governing Body

Date: February 2022

Last reviewed on:

February 2022

Next review due by:

December 2022

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1 Key staff involved in Exams Equality Policy

Role	Name
SENCo	Mrs Karin Scarsbrook
Senior Leader	Mr Steve Rolt
Head of Centre	Miss Kerry Oakley
Assessor(s)	Mr Kristian Sorensen

2 Purpose

This document is provided as an exams-specific supplement to the centre-wide Equalities Policy, which details how the centre will:

- *Recognise its duties towards disabled candidates, including private candidates, as defined under the terms of the Equality Act 2010. This must include a duty to explore and provide access to suitable courses, through the access arrangements process, submit applications for reasonable adjustments and make reasonable adjustments to the service the centre provides to disabled candidates; or any legislation in a relevant jurisdiction other than England and Wales which has an equivalent purpose and effect*

JCQ's General Regulations for Approved Centres 2020-2021 (section 5.4)

This publication is further referred to in this policy as GR.

This policy details how the centre facilitates access to exams and assessments for disabled candidates, as defined under the terms of the Equality Act 2010, by outlining staff roles and responsibilities in relation to:

- identifying the need for appropriate arrangements, reasonable adjustments and/or adaptations (referred to in this policy as 'access arrangements')
- requesting access arrangements
- implementing access arrangements and the conduct of exams
- good practice in relation to the Equality Act 2010

The Equality Act 2010 definition of disability

A definition is provided on page 4 of the JCQ publication *Adjustments for candidates with disabilities and learning difficulties* Access Arrangements and Reasonable Adjustments 2020-2021.

This publication is further referred to in this policy as AA.

3 Identifying the need for Access Arrangements

3.1 Roles and Responsibilities

3.1.1 Head of Centre

- Familiar with the entire contents, refers to and directs relevant centre staff to the annually updated JCQ publications including GR and AA
- Ensures the quality of the access arrangements process within the centre
- Ensures staff roles, responsibilities and processes in identifying, requesting and implementing access arrangements are clearly defined and documented



- Ensures a policy demonstrating the centre's compliance with relevant legislation is in place
- Ensures an appropriately qualified assessor(s) is appointed and that evidence of the assessor's qualification(s) is obtained before he/she assess candidates and that evidence of the qualification(s) of the person(s) appointed is held on file

3.1.2 Senior Leadership Team (SLT)

- Familiar with the entire contents of the annually updated JCQ publications including GR and AA
- Support the SENCo in determining the need for, and implementing access arrangements

3.1.3 SENCo

- Has full knowledge and understanding of the contents, refers to and directs relevant centre staff to the annually updated JCQ publication AA
- Ensures the assessment process is administered in accordance with the regulations
- Leads on the access arrangements process to facilitate access for candidates
- If not the appropriately qualified assessor, works with the person(s) appointed, on all matters relating to assessing candidates and the administration of the assessment process
- Ensures that all assessments carried out, and arrangements put in place comply with JCQ and awarding body regulations and guidance
- Ensures arrangements put in place for exams/assessments reflect a candidate's normal way of working within the centre
- Ensures the need for access arrangements for a candidate will be considered on a subject by subject basis
- Presents, when requested, by a JCQ Inspector, evidence of the assessor's qualification
- Works with teaching staff, relevant support staff and the Exams Officer to ensure centre delegated and awarding body approved access arrangements are put in place for candidates taking internal and external exams/assessments
- Provides appropriate evidence to confirm the need(s) of a candidate
- Completes appropriate documentation as required by the regulations of JCQ and the awarding body

3.1.4 Teaching Staff

- Inform the SENCo of any support that might be needed by a candidate
- Ensures arrangements put in place for exams/assessments reflect a candidate's normal way of working within the centre
- Provide information to evidence the normal way of working of a candidate

3.1.5 Assessor of candidates with learning difficulties

(An assessor of candidates with learning difficulties will be an appropriately qualified access arrangements assessor/psychologist/specialist assessor)

- Has a detailed understanding of the current JCQ publication AA
- Conducts appropriate assessments to identify the need(s) of a candidate

3.1.6 Use of word processors

The Word Processor Policy can be found on the policies section of the school website or a hard copy in the Exam Policy folder in the Exams Office.



4 Requesting Access Arrangements

4.1 Roles and Responsibilities

4.1.1 SENCo

- Determines if the arrangements identified for a candidate require prior approval from the awarding body before the arrangements are put in place, or if approval is centre-delegated
- Follows guidance in **AA** (Chapter 8), to process approval applications for access arrangements for GCSE and GCE qualifications
- Ensures appropriate and required evidence is held on file to confirm validation responses in AAO including (where required), the completion of JCQ Form 8 *Application for access arrangements – profile of learning difficulties*, and/or centre based evidence including concise file note(s) on centre-headed paper, signed and dated, and a body of evidence to substantiate the candidate's normal way of working within the centre
- Makes an awarding body referral through AAO, where the initial application for approval may not be approved by AAO, where it is deemed by the centre that the candidate does not meet the criteria for the arrangement(s)
- In conjunction with the Exams Officer, ensures that the arrangements, and approval where required, are in place before a candidate takes his/her first exam or assessment (which is externally assessed or internally assessed/externally moderated)
- In conjunction with the Exams Officer, ensures that where approval is required that this is applied for by the awarding body deadline
- Liaises with teaching staff regarding any appropriate modified paper requirements for candidates

4.1.2 Exams Officer

- Familiar with the entire contents of the annually updated JCQ publication **GR** and is aware of information contained in **AA**, where this may be relevant to the EO role
- In conjunction with the SENCo, follows guidance in **AA** (Chapter 8) to process approval applications for access arrangements for GCSE and GCE qualifications
- Applies for approval where this is required, through Access Arrangements Online (AAO), or through the awarding body where qualifications sit outside the scope of AAO
- Ensures appropriate and required evidence is held on file to confirm validation responses in AAO including the completion of JCQ Form 8, where required, and a body of evidence to substantiate the candidate's normal way of working within the centre
- Confirms by ticking the 'confirmation' box prior to submitting the application for approval that the 'malpractice consequence statement' has been read and accepted
- Ensures that arrangements, and approval where required, are in place before a candidate takes his/her first exam or assessment (which is externally assessed or internally assessed/externally moderated)
- Ensures that where approval required that this is applied for by the awarding body deadline
- Maintains a file for each candidate that will include:
 - Completed JCQ/awarding body application forms and evidence forms
 - Appropriate evidence to support the need for the arrangement where required
 - Appropriate evidence to support normal way of working within the centre
 - In addition, for GCSE and GCE qualifications (where approval is required), a print out of the AAO approval and a signed data protection notice
- Presents the files when requested by a JCQ Centre Inspector
- Liaises with teaching staff regarding any appropriate modified paper requirements for candidates



- Liaises with the SENCo to ensure AAO approval is in place for early opening of papers where this may be required where the centre is permitted to modify a timetabled written component exam paper (copy on coloured paper, enlarge to A3, or copy to single sided print)
- Following the appropriate process (AAO for GCE and GCSE; JCQ Form 7 or Form VQ/EA), orders published modified papers, by the awarding body's deadline for the exam series, where these may be required for a candidate

5 Implementing Access Arrangements and the conduct of exams

5.1 Roles and Responsibilities

5.1.1 External Assessments

There are assessments which are normally set and marked/examined by an awarding body which must be conducted according to awarding body instructions and/or the JCQ publication [Instructions for Conducting Examinations \(ICE\)](#).

5.1.2 Head of Centre

- Supports the SENCo, the Exams Officer and other relevant centre staff in ensuring appropriate arrangements, adjustments and adaptations are in place to facilitate access for disabled candidates to exams
- Familiar with the *Checklist for Heads of Centre and Examination Officers – The Equality Act 2010 and Conduct of Examinations* provided in **ICE**

5.1.3 Senior Leadership Team

- Responsible for the centre's emergency evacuation procedures and the arrangements that may need to be in place for a candidate with a disability who may need assistance when an exam room is evacuated

5.1.4 SENCo

- Ensures appropriate arrangements, adjustments and adaptations are in place to facilitate access for candidates where they are disabled within the meaning of the Equality Act (unless a temporary emergency arrangement is required at the time of an exam)
- Ensures that any arrangements put in place do not unfairly disadvantage or advantage disabled candidates
- Liaises with the Exams Officer regarding facilitation and invigilation of access arrangement candidates in exams
- Liaises with other relevant centre staff regarding the provision of appropriate rooming and equipment that may be required to facilitate access for disabled candidates to exams
- Ensures facilitators supporting candidates are appropriately trained and understand the rules of the particular access arrangement(s)
- Ensures the facilitator is known by or introduced to the candidate prior to the exams
- Liaises with the Exams Officer to ensure that invigilators are made aware of the Equality Act 2010 and trained in disability issues
- Liaises with the Exams Officer where a facilitator may be required to support a candidate requiring an emergency (temporary) access arrangement at the time of exams
- Liaises with the Exams Officer to ensure exam information (JCQ *Information for Candidates* documents, individual exam timetable etc.) is adapted where this may be required for a disabled candidate to access it

5.1.5 Exams Officer

- Familiar with and follows the *Checklist for Heads of Centre and Examination Officers – The Equality Act 2010 and Conduct of Examinations* provided in the current **ICE**
- Ensures a candidate is involved in any decisions about arrangements, adjustments and/or adaptations that may be put in place for him/her, and ensures the candidate understands what will happen at exam time



- Ensures exam information (*JCQ Information for Candidates* documents, individual exam timetable etc.) is adapted where this may be required for a disabled candidate to access it
- Ensures that prior to any arrangements being put in place, checks are made that arrangements do not impact on any assessment criteria/competence standards being tested
- Ensures that any arrangements put in place do not unfairly disadvantage or advantage disabled candidates
- Liaises with other relevant centre staff regarding the provision of appropriate rooming and equipment that may be required to facilitate access for disabled candidates to exams
- Appoints appropriate centre staff as facilitators to support candidates (practical assistant, prompter, oral language modifier, reader, scribe or sign language interpreter)
- Ensures facilitators supporting candidates are appropriately trained and understand the rules of the particular access arrangement(s)
- Ensures the facilitator is known by or introduced to the candidate prior to exams
- Ensures a facilitator acting as a prompter is aware of the appropriate way to prompt, depending on the needs of the candidate
- Ensures cover sheets, where these are required by the arrangement, are completed when necessary
- Liaises with the SENCo regarding the facilitation and invigilation of access arrangement candidates
- Liaises with the SENCo regarding rooming of access arrangement candidates
- Liaises with the SENCo to ensure that invigilators are made aware of the Equality Act 2010, and are trained in disability issues
- Ensures appropriate seating arrangements are in place where different arrangements may need to be made for a candidate to facilitate access to his/her exams
- Ensures invigilators are briefed prior to each exam session of the arrangements in place for a disabled candidate in their exam room
- Checks in advance of dated exams/assessments that modified paper orders have arrived (and if not, will contact the awarding body to ensure that papers are available when required)
- Liaises with teaching staff regarding any appropriate modified paper requirements for candidates
- Makes modifications that are permitted by the centre (a question paper copied onto coloured paper, an A4 to A3 enlarged paper or a paper printed on single sheets, or where a question paper may need to be scanned into PDF format where a candidate is approved the use of a computer reader) that may be required and, where approved, opens the exam question paper packet in the secure room no earlier than 90 minutes prior to the published start time of the exam
- Understands that where permitted/approved, secure exam question paper packets may need to be opened early where preparation is required by the facilitator (Oral Language Modifier, Live Speaker, Sign Language Interpreter only)
- Ensures that the facilitator only has access to the papers one hour prior to the published start time of the exam
- Provides cover sheets prior to the start of an exam where required for particular access arrangements and ensures that these have been fully completed before candidates' scripts are dispatched to examiners/markers
- Prints pre-populated cover sheets from AAO where this is required, for GCSE and GCE qualifications
- Has a process in place to deal with emergency (temporary) access arrangements as they arise at the time of exams in terms of rooming and invigilation
- Liaises with the SENCo where a facilitator may be required to support a candidate requiring an emergency (temporary) access arrangement at the time of exams
- Where required, for emergency (temporary) access arrangement, applies for approval through AAO, or through the awarding body where qualifications sit outside the scope of AAO



5.1.6 Teaching Staff

- Support the SENCo and the Exams Officer to ensure appropriate arrangements, adjustments and adaptations are in place to facilitate access for disabled candidates to exams
- Ensures a candidate is involved in any decisions about arrangements, adjustments and/or adaptations that may be put in place for him/her, and ensures the candidate understands what will happen at exam time
- Ensures that prior to any arrangements being put in place, checks are made that arrangements do not impact on any assessment criteria/competence standards being test
- Ensures that any arrangements put in place do not unfairly disadvantage or advantage disabled candidates
- Liaises with the Exams Officer regarding facilitation and invigilation of access arrangement candidates in exams
- Ensures the facilitator is known by or introduced to the candidate, prior to exams
- Liaises with the Exams Officer where a facilitator may be required, to support a candidate requiring an emergency (temporary) access arrangement at the time of exams
- Liaises with the SENCo and other relevant centre staff to ensure appropriate arrangements, adjustments and adaptations are in place to facilitate access for disabled candidates to exams

5.1.7 Teacher responsible for planning

- Appoints appropriate centre staff as facilitators to support candidates (practical assistant, prompter, Oral Language Modifier, reader, scribe of Sign Language Interpreter)
- Liaises with the SENCo and other relevant centre staff to ensure appropriate arrangements, adjustments and adaptations are in place to facilitate access for disabled candidates to exams

5.1.8 Premises

- Responsible for rooms and non-specialist equipment (chairs, tables, clocks etc.) used for exams that may need to be adapted for a candidate

5.1.9 IT Department

- Responsible for IT or other specialist equipment that may need to be provided or adapted for a candidate

6 Internal Assessments

These are non-examination assessments (NEA) which are normally set by a centre/awarding body, marked and internally verified by the centre and moderated by the awarding body

Externally marked and/or externally set practical examinations taken at different times across centres are classified as 'NEA'.

JCQ Instructions for conducting Non-examination Assessments – Foreword, Page 3

6.1 Roles and Responsibilities

6.1.1 SENCo

- Liaises with teaching staff to implement appropriate access arrangements for candidates

6.1.2 Teaching Staff

- Support the SENCo in implementing appropriate access arrangements for candidates
- Ensures centre-delegated and awarding body approved arrangements are in place prior to a candidate taking his/her first formal supervised assessment
- Ensures candidates are aware of the access arrangements that are in place for their assessments
- Ensures cover sheets are completed as required by facilitators



- Provide the SENCo with assessment schedules to ensure arrangements are put in place when required
- Liaise with the SENCo regarding assessment materials that may need to be modified for a candidate

6.1.3 Exams Officer

- Ensures facilitators supporting candidates are appropriately trained and understand the rules of the particular access arrangement(s)
- Ensures cover sheets are completed as required by facilitators
- Liaises with the teacher where a facilitator may be required to support a candidate requiring an emergency (temporary) access arrangement at the time of his/her formal supervised assessment

6.2 Internal Exams

These are exams or tests which are marked within the centre; normally a pre-cursor to external assessments.

6.2.1 SENCo

- Liaises with teaching staff to implement appropriate access arrangements for candidates

6.2.2 Teaching Staff

- Support the SENCo in implementing appropriate access arrangements for candidates
- Provide the SENCo with internal exam timetable to ensure arrangements are put in place when required

6.2.3 Exams Officer

- Provide exam materials that may need to be modified by a candidate

7 Facilitating Access – Examples

The following information confirms Carrington School’s good practice in relation to The Equality Act 2010 and the conduct of examinations.

On a candidate by candidate basis, consideration is given to:

- Adapting assessment arrangements
- Adapting assessment materials
- The provision of specialist equipment or adaptation of standard equipment
- Adaptation of the physical environment for access purposes

The below table provides example arrangements, adjustments and adaptations that are considered to meet the need(s) of a candidate and the actions considered/taken by Carrington School for the purposes of facilitating access.

Example of Candidate Need(s)	Arrangements Explored	Centre Actions
A medical condition which prevents the candidate from taking exams in the centre	Alternative site for conduct of examinations Supervised rest breaks	<ul style="list-style-type: none"> • SENCo gathers evidence to support the need for the candidate to take exams at home • Pastoral Head provides written statement for file to confirm the need • Approval confirmed by SENCo; AAO approval for both arrangements not required • Pastoral Head discussion with candidate to confirm the arrangements should be put in place • EO submits appropriate ‘Alternative Site for the Conduct of Exams’ Form • EO provides candidate with exam timetable and JCQ Information for Candidates



Example of Candidate Need(s)	Arrangements Explored	Centre Actions
		<ul style="list-style-type: none"> • Pastoral Head confirms with candidate the information is understood • Pastoral Head agrees with candidate that prior to each exam will call to confirm fitness to take exam • EO allocates invigilator(s) to candidate's timetable; confirms time of collection of exam papers and materials • Invigilator monitor's candidate's condition for each exam and records any issues on incident log • Invigilator records rest breaks (time and duration) on incident log and confirms set time given for exam • Invigilator briefs EO after each exam on how candidate's performance in exam may have been affected by his/her condition • EO discusses with Pastoral Head if candidate is eligible for Special Consideration • EO processes request(s) for Special Consideration where applicable; incident logs provide supporting evidence • Pastoral Head informs candidate that Special Consideration has been requested
Persistent and significant difficulties in accessing written text	Reader/computer reader 25% extra time Separate invigilation within the centre	<ul style="list-style-type: none"> • Confirms candidate is disabled within the meaning of The Equality Act 2010 • Papers checked for those testing reading • Computer reader sourced for use in papers (or sections of papers) testing reading OR up to 50% time awarded • Form 8 – signed and date, with Sections A, B and C completed; kept on file with body of supporting evidence, printed approval from AAO and signed data protection notice
Significant difficulty in concentrating	Prompter Separate invigilation within the centre	<ul style="list-style-type: none"> • Gathers evidence to support substantial and long term adverse impairment • Confirms with candidate how and when they will be prompted • Briefs invigilator to monitor candidate and the method of prompting (call out his name to bring his attention back to the paper – confirms requirement for separate room)
Wheelchair user	Desk Rooms Facilities Seating arrangements Practical assistant	<ul style="list-style-type: none"> • Applies for practical assistant to help candidate set up wheelchair and other equipment in a practical assessment; approval automatically fails so awarding body referral lists the tasks that will be performed • Provides height adjustable desk in exam room • Allocates exam room on ground floor near adapted bathroom facilities • Spaces desk to allow wheelchair access • Seats candidate near exam room door • Confirms arrangement in place to assist the candidate in case of emergency evacuation of the exam room • Practical assistant cover sheet printed from AAO; to be completed by facilitator and inserted inside the candidate's work where this may be applicable to the assessment

